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The role of aromatic precursors in the formation of haloacetamides by chloramination of dissolved organic matter

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Abstract

Water treatment utilities are diversifying their water sources and often rely on waters enriched in nitrogen-containing compounds (e.g., ammonia, organic nitrogen such as amino acids). The disinfection of waters exhibiting high levels of nitrogen has been associated with the formation of nitrogenous disinfection byproducts (N-DBPs) such as haloacetonitriles (HANs) and haloacetamides (HAcAms). While the potential precursors of HANs have been extensively studied, only few investigations are available regarding the nature of HAcAm precursors. Previous research has suggested that HAcAms are hydrolysis products of HANs. Nevertheless, it has been recently suggested that HAcAms can be formed independently, especially during chloramination of humic

substances. When used as a disinfectant, monochloramine can also be a source of nitrogen for N-DBPs. This study investigated the role of aromatic organic matter in the formation of N-DBPs (HAcAms and HANs) upon chloramination. Formation kinetics were performed from various fractions of organic matter isolated from surface waters or treated wastewater effluents. Experiments were conducted with ^{15}N -labeled monochloramine ($^{15}\text{NH}_2\text{Cl}$) to trace the origin of nitrogen. N-DBP formation showed a two-step profile: (1) a rapid formation following second-order reaction kinetics and incorporating nitrogen atom originating from the organic matrix (e.g., amine groups); and (2) a slower and linear increase correlated with exposure to chloramines, incorporating inorganic nitrogen (^{15}N) from $^{15}\text{NH}_2\text{Cl}$ into aromatic moieties. Organic matter isolates showing high aromatic character (i.e., high SUVA) exhibited high reactivity characterized by a major incorporation of ^{15}N in N-DBPs. A significantly lower incorporation was observed for low-aromatic-content organic matter. ^{15}N -DCAcAm and ^{15}N -DCAN formations exhibited a linear correlation, suggesting a similar behavior of ^{15}N incorporation as SUVA increases. Chloramination of aromatic model compounds (i.e., phenol and resorcinol) showed higher HAcAm and HAN formation potentials than nitrogenous precursors (i.e., amino acids) usually considered as main precursors of these N-DBPs. These results demonstrate the importance of aromatic organic compounds in the formation of N-DBPs, which is of significant importance for water treatment facilities using chloramines as final disinfectant.

Keywords

Chloramination, disinfection byproducts, haloacetamides, dissolved organic matter, aromatic compounds

51

52

53 **1. Introduction**

54 Surface waters are often impacted by human activities (e.g., agriculture, industries, and municipal
55 wastewater effluent discharges) resulting in their enrichment in nitrogen-containing compounds
56 (i.e., ammonia and organic nitrogen such as amino acids) (Westerhoff and Mash, 2002). The
57 disinfection of waters exhibiting high levels of nitrogen has been associated with the formation of
58 nitrogenous disinfection byproducts (N-DBPs) (Bond et al., 2011). N-DBPs generally form in
59 significantly lower concentrations than regulated DBPs, but have been a growing concern over the
60 past decade because of their higher health risk (Muellner et al., 2007; Plewa et al., 2004). *In vitro*
61 mammalian cell tests have demonstrated that haloacetonitriles (HANs), halonitromethanes (HNMs),
62 and haloacetamides (HAcAms) are more cytotoxic and genotoxic (i.e., up to 2 orders of magnitude)
63 than non-nitrogenous molecules such as trihalomethanes (THMs) and haloacetic acids (HAAs)
64 (Plewa et al., 2008). Nitrogen in N-DBPs can also be derived from chloramines when used as
65 disinfectants. Water utilities have been increasingly switching to monochloramine as an alternative
66 to chlorine in order to limit the production of regulated THMs and HAAs. Nevertheless, concern
67 has been raised regarding the formation of N-DBPs (e.g., N-nitrosodimethylamine - NDMA)
68 produced from the reaction between monochloramine and secondary or tertiary amines (Mitch and
69 Sedlak, 2004).

70 Among N-DBPs, dichloroacetonitrile (DCAN) and dichloroacetamide (DCAcAm) are the most
71 frequently detected species in drinking water treatment plants (Krasner et al., 2006). While the
72 potential precursors of HANs have been extensively studied, only few investigations are available
73 regarding the nature of HAcAms precursors. HAcAms were first reported to be intermediate
74 products of HANs hydrolysis and ultimately decomposed to HAAs (Glezer et al. 1999, Reckhow et
75 al. 2001). More recently, it was found that HAcAms can be formed independently from HANs
76 during chlorination and chloramination processes (Huang et al., 2012). Two different pathways have

77 been proposed to describe the formation of HANs and HAcAms ([Fig. S1](#)). First, the
78 Decarboxylation Pathway occurs by rapid chlorination or chloramination of α -amine groups of free
79 amino acids to form a nitrile, followed by hydrolysis to release HANs and carbonic acid (Trehy et
80 al., 1986). HANs are then further hydrolyzed to HAcAms and HAAs (Reckhow et al., 2001). The
81 second pathway proposed for HANs formation is the Aldehyde Pathway, where nitrogen from
82 monochloramine (NH_2Cl) is incorporated into aldehydes to produce nitriles. The reaction between
83 NH_2Cl and formaldehyde forms cyanogen chloride (CNCl) (Pedersen et al., 1999), and
84 chloroacetonitrile is formed from chloroacetaldehyde (Kimura et al., 2013). Haloacetaldehydes
85 (HAcAls) are carbonaceous DBPs frequently detected in disinfected waters, and often represent the
86 third major class of DBPs after THMs and HAAs (Krasner et al., 2006). Chloroacetaldehyde has
87 been demonstrated to be a precursor of N,2-dichloroacetamide upon chloramination (Kimura et al.,
88 2013). The reaction involves the incorporation of the nitrogen atom of monochloramine (NH_2Cl) to
89 form the amide group through the formation of a carbinolamine intermediate.

90 In addition to the Aldehyde Pathway, most studies about HANs and HAcAms formation
91 mechanisms focused on the chlorination of nitrogenous precursors (e.g., amino acids, amines,
92 pyrimidines) or matrices enriched in nitrogenous moieties (e.g., algae cells, extracellular organic
93 matter) (Bond et al., 2009; Fang et al., 2010; Oliver, 1983; Reckhow et al., 2001; Yang et al., 2010,
94 2011, 2012). In the case of aquatic humic substances, a positive correlation was found between their
95 nitrogen content and their tendency to form HAN upon chlorination (Reckhow et al., 1990). Studies
96 performed with labeled ^{15}N -chloramines ($^{15}\text{NH}_2\text{Cl}$) on nitrogenous organic (N-org) precursors and
97 fractions of dissolved organic matter (DOM) found that nitrogen in HANs or CNCl originated from
98 both organic precursors and NH_2Cl (Yang et al., 2010). Recent studies suggested that aromatic
99 moieties of DOM may contribute to a substantial HAN formation upon chloramination (Chuang et
100 al., 2013; Huang et al., 2012; Yang et al., 2008, 2010). During chloramination, the formation of
101 DCAN or CNCl did not correlate with the DON/DOC ratios of DOM fractions. However, good
102 correlations were observed with their SUVA values and thus with their aromatic carbon content

(Yang et al., 2008). A mechanism describing the chloramination of a β -diketone moiety was proposed based on the Decarboxylation Pathway, showing the incorporation of nitrogen through the formation of an *N*-chloroimine and leading to DCAN as a hydrolysis product. Approximately 90 % of nitrogen in DCAN and CNCl was reported to originate from NH_2Cl reaction with Suwannee River DOM (Yang et al., 2010). Recent kinetics experiments also suggest similarities between HANs, THMs, and HAAs precursors (Chuang et al., 2013). Kinetics of DCAN and trichloronitromethane (TCNM) formation upon chloramination using $^{15}\text{NH}_2\text{Cl}$ were proposed to involve two reaction mechanisms: formation from N-org precursors following a second-order reaction; and formation by incorporation of nitrogen from NH_2Cl , linearly correlated with chloramines exposure (Chuang and Tung, 2015).

Less information is available about HAcAms precursors and formation mechanisms, since HAcAms were first reported as DBPs in a 2000-2002 drinking water survey (Krasner et al., 2006; Weinberg et al., 2002). Although DCaAm yields from amino acids are considerably lower than those of DCAN, comparable levels (i.e., median concentrations of 1.3 and 1 $\mu\text{g/L}$, respectively) have been observed in US drinking waters (Bond et al., 2012; Krasner et al., 2006). Therefore, unknown precursors appear to be responsible for the majority of HAcAm formation (Bond et al., 2012). The formation of HAcAms from algal exopolymeric substances (EPS), municipal wastewater treatment plant effluents (Huang et al., 2012), natural waters (Chu et al., 2013), bacterial cells (Huang et al., 2013), natural organic matter (NOM) fractions, and free amino acids (Chu et al., 2010a, 2010b) has been previously studied. The hydrophilic acid fraction isolated from an algal-impacted water enriched in nitrogen (i.e., high DON/DOC ratio), exhibited the highest DCaAm formation potential during both chlorination and chloramination, which was associated with the presence of protein-like organic matter (Chu et al., 2010b). However, DCaAm was recently found to be preferentially formed by chloramination of humic materials, while chlorination of wastewater effluents and algal EPS tended to form more DCAN (Huang et al., 2012). These results suggested that the mechanism of HAcAms formation is independent from that of HANs. Overall, HAcAms

129 formation mechanisms remain unclear, and the precursors of HAcAms in natural waters still need to
130 be characterized.

131 This study investigated the role of aromatic organic matter in the formation of N-DBPs (i.e.,
132 especially HAcAms) upon chloramination, with emphasis on the formation kinetics of HAcAms
133 and HANs. Various fractions of organic matter isolated from different waters (i.e., surface waters,
134 treated wastewater) as well as model compounds were studied to understand the factors controlling
135 N-DBPs formation. Experiments were conducted with $^{15}\text{NH}_2\text{Cl}$ to trace the origin of nitrogen in the
136 formed DBPs.

137

138 **2. Materials and methods**

139 **2.1. Materials**

140 All reagents were of analytical or laboratory grade and were used without further purification.
141 MilliQ water was produced with a Millipore system (18.2 M Ω .cm). Sodium hypochlorite (NaOCl,
142 5.65-6%, Fisher Scientific) and ammonium chloride (Acros Organics, 99.6%) were used to prepare
143 chloramine reagents. ^{15}N -labeled ammonium chloride was purchased from Sigma-Aldrich (98%).
144 Sodium thiosulfate (Fisher Scientific) was used to quench residual chloramines. Methyl tert-butyl
145 ether (MTBE) and ethyl acetate (> 99%, Fisher Scientific) were used for DBP extractions without
146 further purification. A THM calibration mix (chloroform - TCM, dichlorobromomethane -
147 CHCl_2Br , chlorodibromomethane - CHClBr_2 , and bromoform - TBM), a mixed standard (EPA 551B
148 Halogenated Volatiles Mix) containing haloacetonitriles (HANs), trichloronitromethane (TCNM, or
149 chloropicrin) and haloketones (HKs), and a mixed standard containing 9 HAAs (EPA 552.2 Methyl
150 Ester Calibration Mix) were supplied from Supelco (Sigma-Aldrich). Chloro-, bromo-, dichloro-,
151 and trichloroacetamide were obtained from Sigma-Aldrich. Other haloacetamides (HAcAms) were
152 purchased from Cansyn Chem. Corp. Decafluorobiphenyl (99%, Sigma-Aldrich, Supelco) was used
153 as a surrogate standard. 2-bromopropionic acid (Fluka Analytical) was used as a surrogate for HAA
154 extractions and analyses. Phenol (>99%), resorcinol (>99%), L-tyrosine (>98%), L-aspartic acid

155 (>99.5%) and L-tryptophan (>98%) were obtained from Sigma-Aldrich.

156 **2.2. Experimental methods**

157 All glassware used during these experiments was washed with Milli-Q water and baked at 500°C
158 for at least 5 h prior to use. DOM stock solutions were prepared by dissolving 20 mg of a selected
159 DOM isolate in 500 mL of Milli-Q water (dissolved organic carbon, i.e., DOC ~ 14-19 mg C/L).
160 Solutions for experimentation were prepared by adjusting DOC to 5 mg C/L in 10 mM phosphate
161 buffer. Monochloramine and ¹⁵N-labeled monochloramine stock solutions were prepared by adding
162 sodium hypochlorite (NaOCl) to a continuously-stirred ammonium chloride or ¹⁵N-labeled
163 ammonium chloride solution, respectively, adjusted to pH 8.5 with sodium hydroxide, at a N:Cl
164 molar ratio of 1.2:1. The concentration of monochloramine stock solutions was adjusted to a desired
165 concentration. Chloramination experiments were performed in headspace-free 65 mL amber glass
166 bottles under excess of disinfectant dosage. The doses were calculated as: $\text{NH}_2\text{Cl (mg/L as Cl}_2\text{)} = 3$
167 $\times \text{DOC (mg C/L)}$, to conduct a comprehensive comparison between our DBPFP data and results
168 obtained from other studies working with similar doses (Chu et al., 2010b; Dotson et al., 2009;
169 Krasner et al., 2007). Monochloramine was also added in excess for the determination of DBPFP of
170 model organic compounds (i.e., phenol, resorcinol, tyrosine, aspartic acid, and tryptophan) solutions
171 (chloramine/model compound molar ratio = 5.6). Most of the experiments were conducted in
172 duplicates, at room temperature (22±1°C), and under dark conditions to avoid any photolysis
173 reaction. At the end of the reaction time, chlorine residual was quenched using a slight excess of
174 sodium thiosulfate. To avoid any loss of the targeted by-products, samples were extracted
175 immediately after quenching. Free chlorine and total chlorine concentrations in the stock solutions
176 of sodium hypochlorite were determined iodometrically with sodium thiosulfate 0.1 M (>99.9%).
177 Initial NH_2Cl and NHCl_2 concentrations were determined by spectrophotometric measurement
178 using their respective molar extinction coefficients at 245 nm and 295 nm and by solving
179 simultaneous equations (Schreiber and Mitch, 2005). Residual oxidant was analyzed iodometrically
180 (Eaton et al., 1995). Oxidant exposures were calculated based upon the integration of concentration

181 versus time curves of oxidant decay.

182 **2.3. Analytical methods**

183 Total Organic Carbon (TOC) and Total Nitrogen (TN) concentrations were measured using a TOC
184 analyzer equipped with a TN detection unit (TOC-VCSH, Shimadzu). UV₂₅₄ absorbance was
185 measured using UV-Vis spectrometer (UV-2550, Shimadzu) and specific UV absorbance at 254 nm
186 (SUVA) values were calculated as UV₂₅₄/DOC ratio and expressed as L.mg⁻¹.m⁻¹. Four
187 trihalomethanes (THMs), four haloacetonitriles (HANs), two haloketones (HKs), and chloropicrin
188 were extracted and analyzed following EPA method 551, which consists of a liquid-liquid extraction
189 using MTBE followed by gas chromatography coupled with electron capture detector (GC-ECD) or
190 mass spectrometer (GC-MS) (Munch and Hautman, 1995). Nine HAAs were extracted and
191 analyzed following EPA method 552.2, which is based on a liquid-liquid extraction with MTBE in
192 acidic conditions followed by derivatization to methyl esters using acidic methanol, and analysis by
193 gas chromatography coupled with mass spectrometry (GC-MS) (Munch and Munch, 1995).
194 HAcAms were analyzed following the same EPA method 551 protocol, replacing MTBE by ethyl
195 acetate for the liquid-liquid extraction. For experiments involving ¹⁵N-NH₂Cl, all extracts were
196 analyzed by GC-MS. Since ¹⁵N-labeled DBPs (¹⁵N-DBPs) are not commercially available, the
197 concentration of ¹⁵N-DBPs was quantified indirectly using the concentration of unlabeled DBPs
198 (i.e., ¹⁴N-DBPs), based on the assumption that the MS response for ¹⁴N-DBPs is similar to that for
199 ¹⁵N-DBPs (Huang et al., 2012). As an example, DCAN concentrations were determined using m/z
200 74 and 75 as quantification ions for ¹⁴N-DCAN and ¹⁵N-DCAN, respectively, and using the
201 calibration curve obtained from ¹⁴N-DCAN standards. The same method was used for other
202 ¹⁵N-DBPs analysis. Additional analytical details and the list of labeled and unlabeled DBPs and
203 their related quantification ions are provided in [Text S1](#) and [Table S1](#) (SI).

204 **2.4. Characteristics of DOM extracts**

205 Samples of wastewater were collected at the Jeddah wastewater (JW) treatment plant (Saudi Arabia)
206 in September 2012 and stored at 4°C prior to the extraction. The hydrophobic (JW HPO),

transphilic (JW TPI), and colloidal (JW COL) effluent organic matter (EfOM) fractions were obtained from these samples. Three previously isolated hydrophobic NOM fractions (i.e., hydrophobic acids-HPOA obtained from base desorption, and hydrophobic-HPO isolated with acetonitrile/water desorption) showing different chemical composition were selected: SR HPOA isolated from the Suwannee River (Georgia, USA), BR HPO isolated from the Blavet River (Côte d'Armor, France), and GR HPO obtained from the Gartempe River (Vienne, France). One hydrophilic acid (RR HPI) isolated from the Ribou River (Maine-et-Loire, France) was also selected. NOM fractions were isolated using two slightly different comprehensive isolation protocols described elsewhere (Croué, 2004; Leenheer et al., 2000). All fractions and their chemical compositions are described in [Table S2](#) (SI).

3. Results and discussion

3.1. Kinetics of DBP formation

In preliminary experiments, the kinetics of DBPs formation were assessed during chloramination over 72h on EfOM isolates (JW HPO and JW TPI) and Suwannee River NOM (SR HPOA). Monochloramine concentration remained in excess during all the reaction time ([Fig. S2](#), SI). The decay of monochloramine was always caused by its autodecomposition since no significant variations were observed between all the experiments, and the experimental values fitted well with the autodecomposition model reported by Jafvert and Valentine (1992). DCACAm formation from the three isolates (SR HPOA, JW HPO, JW TPI) showed a two steps profile: a rapid formation in the first 3 hours of reaction, followed by a linear increase ([Fig. 1a](#)). The formation of DCACAm from JW TPI increased slightly faster than from JW HPO during the first 24 hours of reaction, and then slowed down between 24h and 72h. A linear increase was observed for JW HPO, finally reaching a concentration similar to that of JW TPI at 72h of reaction (42.9 nM and 38.6 nM for JW HPO and JW TPI, respectively). This finding indicates that the nature of EfOM precursors (as described by their C/N ratio and hydrophobicity in terms of SUVA values, see [Table](#)

233 [S2](#), SI) might play an important role in formation kinetics, but exerts a lesser influence on the
234 overall formation potential. A lower concentration of nitrogenous precursors in JW HPO (i.e., as
235 revealed by its higher C/N ratio of 19.5, compared to 8.4 for JW TPI, [Table S2](#), SI) could lead to a
236 lower formation of DCaAm in the first 24 hours of reaction, then slow incorporation of nitrogen
237 from monochloramine into the organic matrix would occur with time, leading to a continuous
238 increase in DCaAm. DCaAm formation kinetics from SR HPOA was significantly faster than
239 that from EfOM isolates. DCaAm concentration quickly increased in the first 10 hours of reaction,
240 and then linearly increased even faster after 10h to reach a final concentration of 169.2 nM (21.7
241 µg/L) at 48h. The high reactivity of SR HPOA isolate can be related to its aromatic character (i.e.,
242 SUVA value = 4.6). High SUVA NOM isolates are generally characterized by a low incorporation of
243 nitrogenous moieties, i.e., high C/N ratio (e.g., C/N ratio of 68.6 for SR HPOA) as compared to
244 other isolates ([Table S2](#), SI) (Leenheer and Croué, 2003). In addition, the substantial difference
245 between SR HPOA and JW HPO reactivities can be explained by their different origins. Especially,
246 EfOM and NOM exhibit different relationships between SUVA and the aromatic carbon content,
247 which is explained by a different origin of aromatic moieties (Drewes and Croué, 2002).
248 Furthermore, EfOM contains less high molecular weight compounds, less compounds with CHO
249 formulae but much more organosulfur compounds with CHOS formulae (e.g., surfactants) as
250 compared to NOM (Gonsior et al., 2011).
251 DCaAm concentrations from the three isolates did not stabilize after 72h, thus indicating that
252 precursors were still available in the solutions. No TCaAm was detected (detection limit of 4 nM)
253 from any isolate in the experimental conditions used (i.e., $\text{NH}_2\text{Cl} = 15 \text{ mg Cl}_2/\text{L}$ and Cl:N ratio of
254 1:1.2). No brominated HAcAms were detected since no bromide was present in the reaction
255 solutions.
256 DCAN formation from the three isolates followed similar profiles compared to those of DCaAm
257 formation ([Fig. 1b](#)). The difference between JW HPO and JW TPI isolates was even more
258 pronounced: a faster reactivity was observed for JW TPI between 0 and 24 hours of reaction, but it

259 slowed down to reach a final concentration of 23.1 nM after 72h. JW HPO profile showed a
 260 progressive increase with time, to finally reach a higher concentration (i.e., 30.3 nM) than that of
 261 JW TPI. As discussed for DCACAm results, the different nature of DBP precursors present in the
 262 two JW EfOM isolates (i.e., different proportion of aromatic and nitrogenous precursors), may
 263 influence the reaction kinetics. DCAN formation from SR HPOA was also considerably more
 264 important than from JW EfOM isolates and increased faster, reaching 92.5 nM after only 48h. No
 265 other HAN was detected from any of the three isolates.

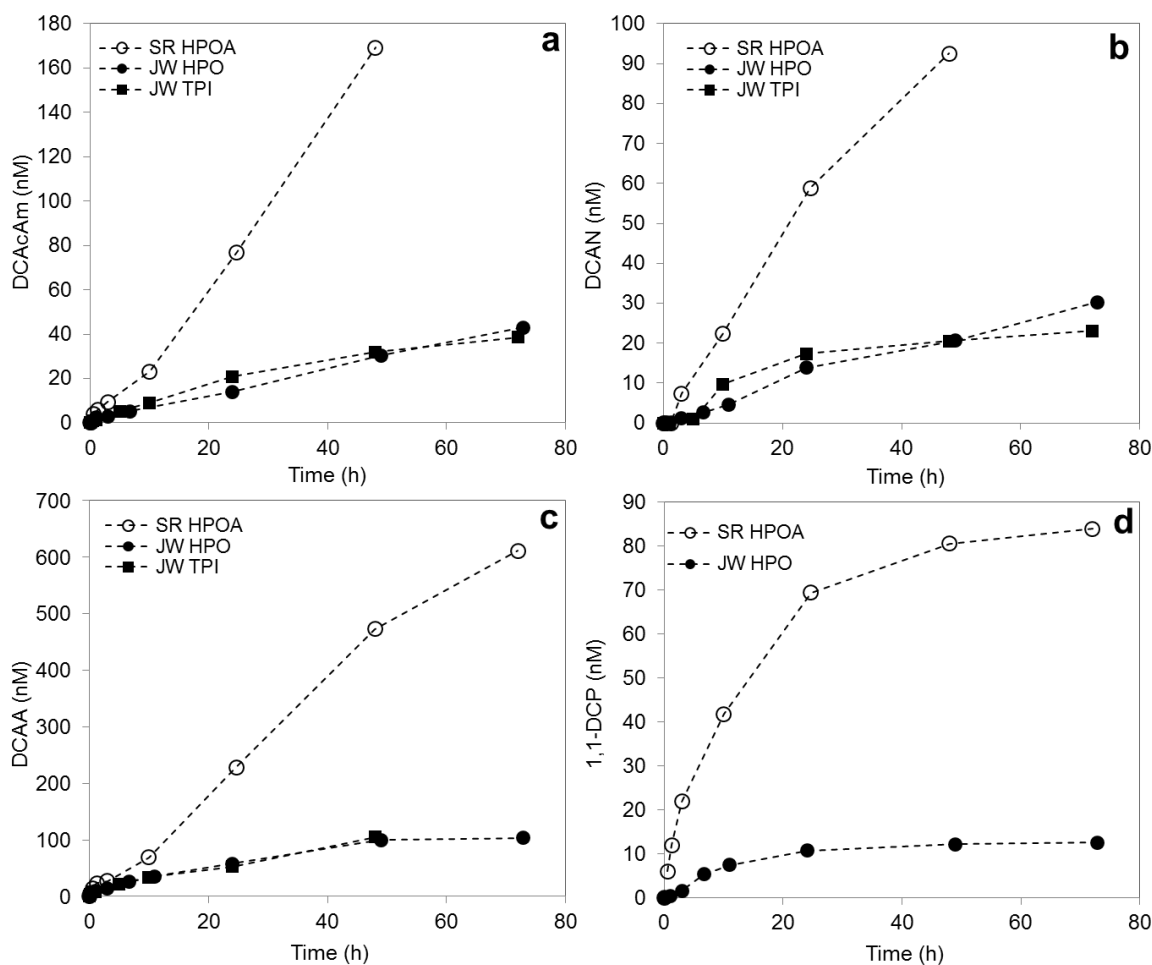


Figure 1. Formation kinetics of a) DCACAm, b) DCAN, c) DCAA, and d) 1,1-DCP by
 chloramination (15 mg Cl₂/L) of SR HPOA, JW HPO, and JW TPI isolates (5 mg C/L) at pH 8 (10
 mM phosphate buffer).

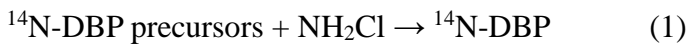
266 DCAA was the major DBP detected during these experiments, with concentrations of 611.0 nM and
 267 103.1 nM formed after 72h for SR HPOA and JW HPO, respectively. The SR HPOA isolate was the

strongest DCAA precursor with a kinetics profile similar to that of DCACAm. A similar DCAA formation was observed for the two JW EfOM isolates indicating that, unlike N-DBPs, DCAA precursors might be more similar in the two fractions. TCAA was only detected at very low concentrations (< 0.005 nM, i.e., < 0.8 $\mu\text{g/L}$) for SR HPOA and JW TPI. TCM was only detected for SRHPOA, reaching a concentration of 453.1 nM after 72h. 1,1-dichloropropanone (1,1-DCP) was solely detected during the chloramination of SR HPOA and JW HPO. This observation could be related to the higher proportion of aromatic precursors in these isolates. The formation of HKs has been previously reported from chlorination and chloramination of Black Lake fulvic acids and a Suwannee River reverse osmosis NOM isolate (Reckhow and Singer, 1985; Yang et al., 2007). 1,1-DCP formation rates from both isolates quickly increased in the first 24 hours of reaction, but decreased after 24h to reach final concentrations (at 72h) of 84.0 nM and 12.6 nM for SR HPOA and JW HPO, respectively. HKs are known as intermediates in the haloform reaction, hydrolyzing to chloroform in neutral and alkaline conditions (Suffet et al., 1976). The formation of HKs has been associated with a higher methyl ketone content in fulvic acids fractions as compared to humic acids (Reckhow et al., 1990). In our experiments, no 1,1,1-TCP could be detected from any isolate. 1,1,1-TCP can be formed by chlorine attack of 1,1-DCP during chlorination but not during chloramination, because monochloramine is not able to provide further chlorine substitution (Yang et al., 2007).

3.2. Tracing the origin of nitrogen in N-DBPs

To examine the behavior of nitrogen incorporation from organic precursors and NH_2Cl , kinetic experiments were performed with SR HPOA, JW HPO, JW TPI, JW COL, and RR HPI isolates (5 mg C/L) using ^{15}N -labeled monochloramine ($^{15}\text{NH}_2\text{Cl}$) (15 mg/L as Cl_2). DBP formation kinetics in the presence of $^{15}\text{NH}_2\text{Cl}$ exhibited similar profiles than those obtained using $^{14}\text{NH}_2\text{Cl}$. As depicted on Fig. S3 and S4 (SI) for each DOM isolate, the initial fast reaction occurring before 24h was generally related to the incorporation of ^{14}N from N-org precursors into DCACAm and DCAN. This result is in accordance with the faster reactivity of JW TPI observed in the first 24 hours of reaction

(Fig. 1) as compared to JW HPO which can be attributed to its higher nitrogen content (i.e., lower C/N ratio). Overall, the isolates exhibited a slow and linear formation of ^{15}N -DCAcAm and ^{15}N -DCAN, while the formation of ^{14}N -DCAcAm and ^{14}N -DCAN tended to reach a plateau during the 72-hour reaction time. For SR HPOA, showing very low nitrogen content, the incorporation of inorganic nitrogen from NH_2Cl was the predominant mechanism after 10 hours of reaction (e.g., 84.6% of the DCAcAm formed at 48h was ^{15}N -DCAcAm), which explains the steep linear kinetics profiles obtained for DCAN and DCAcAm in the preliminary experiments. JW HPO profiles were similar to those of SR HPOA, showing proportions of ^{15}N -DCAcAm and ^{15}N -DCAN at 72h of 74.6% and 68.5%, respectively, which also explains the linearity of profiles shown in Fig. 1. In contrast, the formation of N-DBPs from the JW TPI isolate was more influenced by both mechanisms at the same time (e.g., the proportion of ^{15}N -DCAcAm was $49\% \pm 10\%$ throughout the reaction time) thus explaining the non-linear profiles obtained. In the case of the RR HPI isolate, the formation of ^{15}N -DCAcAm was slower than that of ^{14}N -DCAcAm in the first 40 hours of reaction but finally reached a similar concentration after 48h (i.e., 20.3 nM and 18.3 nM, respectively). A recent study demonstrated that formation kinetics of N-DBPs (DCAN and TCNM) during chloramination are influenced by two contributions: second-order reaction kinetics from N-org precursors and the incorporation of inorganic nitrogen from chloramines, linearly correlated with chloramines exposure (Chuang and Tung, 2015). Second-order reaction kinetics for ^{14}N -DBP depends on the concentrations of NH_2Cl and ^{14}N -DBP precursors, and can be expressed as Eq. 1:



$$\frac{d[^{14}\text{NDBP}]}{dt} = k [^{14}\text{NDBP precursors}] [\text{NH}_2\text{Cl}],$$

Where k is the second-order rate constant for the formation of ^{14}N -DBPs. Integrating Eq. 1 for t yields Eq. 2 (Text S2, SI).

$$\frac{1}{([\text{NH}_2\text{Cl}]_i - [^{14}\text{NDBP precursors}]_{\text{total}})}$$

$$\times \ln \left(\frac{[^{14}\text{NDBP precursors}]_{\text{Total}} \times [\text{NH}_2\text{Cl}]}{[\text{NH}_2\text{Cl}]_i \times ([^{14}\text{NDBP precursors}]_{\text{Total}} - [^{14}\text{NDBP}])} \right) = kt \quad (2)$$

315

316 where $[\text{NH}_2\text{Cl}]_i$ is the initial monochloramine concentration and $[^{14}\text{N-DBP precursors}]_{\text{Total}}$ is the
 317 total $^{14}\text{N-DBP}$ precursors concentration, i.e., the concentration of $^{14}\text{N-DBP}$ formed at 72h.

318 Fig. 2 shows the formation of $^{14}\text{N-DCAcAm}$ and $^{14}\text{N-DCAN}$ and their respective second-order

319 relationships derived from Eq. 2. Linear relationships were obtained from this second-order model

320 for the formation of the two $^{14}\text{N-DBPs}$ with most of the investigated isolates. The linearity observed

321 for the first 48 hours suggests that the reaction was nearly completed after 72h. This finding

322 confirms that $^{14}\text{N-DBPs}$ produced after 72h can be used to reflect the total concentration of $^{14}\text{N-}$

323 DBP precursors. $^{14}\text{N-DCAcAm}$ formation followed second-order kinetics for all the isolates.

324 Because JW TPI exhibited a decreasing profile after 24h and not enough data points were obtained

325 for JW COL, second-order relationships could not be plotted for $^{14}\text{N-DCAN}$ formation from these

326 two isolates. The SR HPOA isolate exhibited the highest formation of $^{14}\text{N-DCAcAm}$ (i.e., 28.0

327 nM), followed by RR HPI, JW TPI, JW HPO, and finally JW COL. A similar order was obtained for

328 the formation of $^{14}\text{N-DCAN}$, however, JW TPI showed a slight decrease in concentration after 24h

329 of reaction time, reaching a lower value than JW HPO at 72h. Because SR HPOA is characterized

330 by the highest C/N ratio (i.e., lowest nitrogenous moieties content) of the studied isolates, these

331 results indicate that there is no direct correlation between nitrogen content of DOM and the

332 production of $^{14}\text{N-DCAcAm}$ or $^{14}\text{N-DCAN}$. Hence, the reactivity of specific organic nitrogen sites

333 plays a more important role in SR HPOA as compared to other fractions of DOM. The aromatic

334 character does not seem to be a critical parameter in the formation of $^{14}\text{N-DBPs}$, since low SUVA

335 isolates (e.g., RR HPI) exhibited higher formation of $^{14}\text{N-DCAcAm}$ and $^{14}\text{N-DCAN}$ than higher

336 SUVA isolates (e.g., JW HPO).

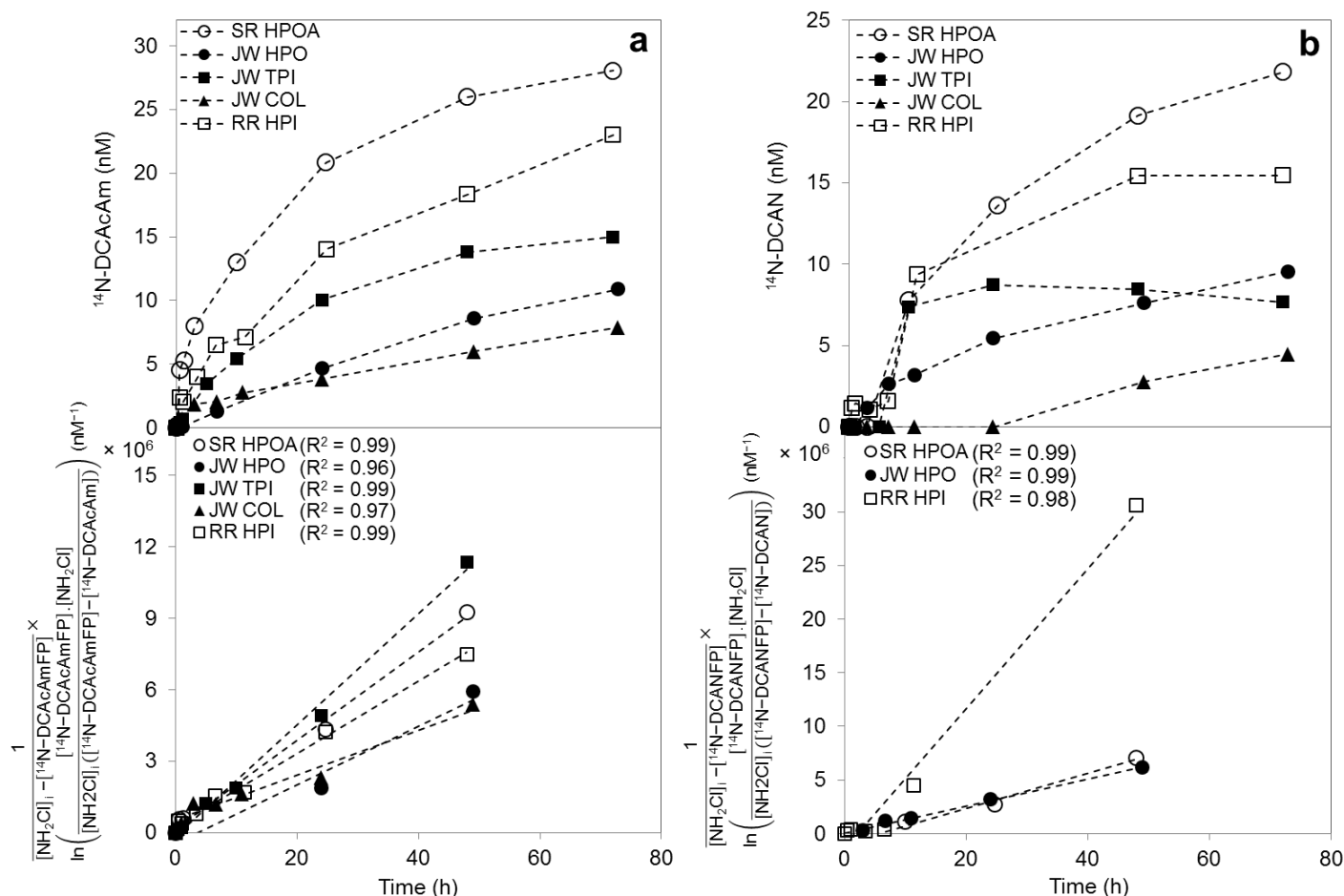


Figure 2. Formation of a) ^{14}N -DCAcAm and b) ^{14}N -DCAN and their respective second-order relationships for SR HPOA, RR HPI, JW HPO, JW TPI, and JW COL isolates (5 mg C/L) and $^{15}\text{NH}_2\text{Cl}$ (15 mg Cl_2 /L) at pH 8 (10 mM phosphate buffer) over 72h.

As proposed in the literature (Chuang and Tung, 2015), a linear correlation was observed between ^{15}N -DCAN formation and monochloramine exposure for all isolates investigated (Fig. 3). The same linear correlation was observed for the formation of ^{15}N -DCAcAm. SR HPOA exhibited again the highest reactivity, with concentrations of up to 143.2 nM of ^{15}N -DCAcAm and 73.4 nM of ^{15}N -DCAN formed after 48h (i.e., an exposure of 33,922 mg.min/L). In general, isolates with higher SUVA values exhibited more pronounced slopes, which indicates that a major incorporation of ^{15}N occurs during $^{15}\text{NH}_2\text{Cl}$ reaction with organic matter enriched in aromatic moieties. RR HPI formed the highest proportion of ^{14}N -DCAcAm (i.e., 47.4% at 48h) as compared to ^{15}N -DCAcAm. The comparison was even more significant for DCAN, with a relative abundance of 72.1% of ^{14}N -DCAN at 48h, indicating that hydrophilic fractions of DOM enriched in nitrogen (see C/N

ratios in Table S2, SI) incorporate a higher proportion of nitrogen from N-org precursors into N-DBPs.

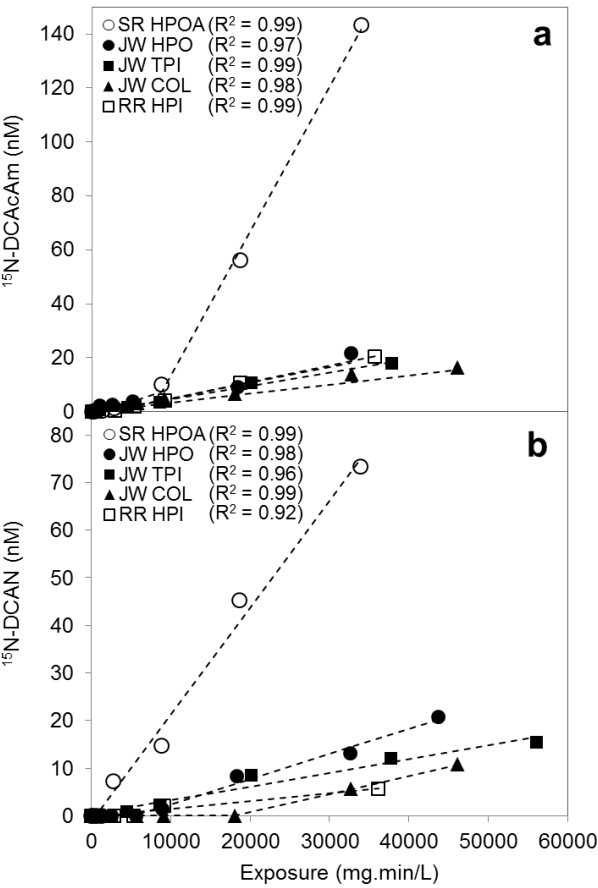


Figure 3. Formation of a) ^{15}N -DCAcAm and b) ^{15}N -DCAN from SR HPOA, RR HPI, JW HPO, JW TPI, and JW COL isolates (5 mg C/L) and $^{15}\text{NH}_2\text{Cl}$ (15 mg Cl_2 /L) at pH 8 (10 mM phosphate buffer) over 72h.

These results explain the N-DBP formation profiles obtained in the experiments involving only $^{14}\text{NH}_2\text{Cl}$ (Fig. 1). The first step of rapid N-DBP production is related to the reactivity of N-org precursors with chlorine atoms of NH_2Cl . As N-org precursors (i.e., ^{14}N -DBP precursors) are consumed, the formation of ^{14}N -DBP tends to stabilize as described by the second-order reaction kinetics. Then, the contribution of non-nitrogenous precursors starts to be significant, exhibiting a slower reactivity with NH_2Cl but finally reaching high N-DBP concentrations. These high concentrations may be due to the higher initial concentrations of non-nitrogenous precursors, i.e., aromatic precursors, as compared to N-org precursors. The second step of linear increase with increasing exposure can thus be described as the slow incorporation of nitrogen from NH_2Cl into

360 non-nitrogenous precursors comprising aromatic moieties.

361 Aldehydes are known to be precursors of nitriles, and it has been demonstrated that

362 chloroacetaldehyde forms chloroacetamide and N,2-dichloroacetamide (first time identified) in

363 alkaline chloramination conditions (Kimura et al., 2013). HAcAms can thus be expected to be

364 formed from haloacetaldehydes (HAcAl) either directly through the Aldehyde Pathway or indirectly

365 through the hydrolysis of HANs. DCaAcAl and TCaAcAl were monitored during the kinetics studies

366 involving $^{15}\text{N-NH}_2\text{Cl}$ to compare their formation with HAcAms formation (Fig. 4). TCaAcAl was

367 only detected with JW HPO at very low concentrations (7.7 nM at 72h). DCaAcAl formation did not

368 fit with any second-order kinetics and a linear correlation with oxidant exposure could not be

369 established. As described in Fig. 4b for JW HPO, the kinetics of formation followed a 2nd order-like

370 profile in the first 10 hours of reaction and then linearly increased with monochloramine exposure,

371 reaching a concentration of 28.5 nM after 72h. Similarly to N-DBPs, DCaAcAl formation could be

372 attributed to two types of reaction mechanisms: a) a formation from N-org precursors or an

373 intermediate in the first hours of reaction, followed by b) a slow reaction with monochloramine.

374 This second step of formation could be linked to the formation of DCaAcAm, i.e., the linear increase

375 in DCaAcAm concentrations would follow the linear formation of DCaAcAl through the

376 incorporation of a N atom from NH_2Cl and the formation of a carbinolamine

377 (2-dichloro-1-(chloroamino)ethanol) and a *N*-chloroamide (*N*-chlorodichloroacetamide)

378 intermediate (Kimura et al., 2013). The formation of *N*-chlorodichloroacetamide has been reported

379 during chlorination of DCAN at pH 10 (Peters et al., 1990). It was not detected at lower pH values,

380 because *N*-chloroamides are easily hydrolyzed in the presence of HOCl. Samples from this study

381 were analyzed in full scan mode GC-MS, but no peaks corresponded to the abovementioned

382 intermediates. Despite its two-steps profile, DCaAcAl formation from JW HPO did not exhibit the

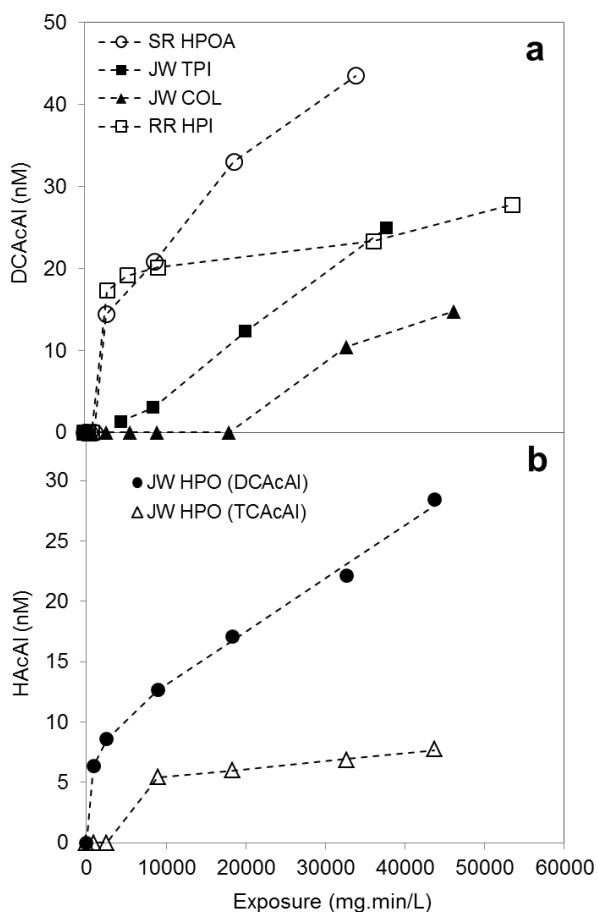
383 same kinetics profile as DCaAcAm and DCAN formation, since more DCaAcAl was formed in the

384 first hours of reaction and the linear increase of DCaAcAm and DCAN was finally more pronounced

385 than that of DCaAcAl (Fig. S6, SI). A similar observation was made from SR HPOA, which formed

386 the highest DCaAI concentration (43.5 nM at 72h) but in limited proportions as compared to
 387 DCaAm and DCAN. These results indicate that DCaAI formation followed an independent
 388 pathway from DCaAm formation. However, additional investigations are needed to confirm this
 389 hypothesis.

390



391

Figure 4. Formation of a) DCaAI and b) DCaAI and TCaAI from SR HPOA, RR HPI, JW HPO, JW TPI, and JW COL isolates (5 mg C/L) and $^{15}\text{NH}_2\text{Cl}$ (15 mg Cl_2/L) at pH 8 (10 mM phosphate buffer) over 72h.

In contrast to other DBPs, the production of DCAA from SR HPOA exhibited the exact same formation profile as total DCaAm (i.e., the sum of ^{14}N -DCaAm and ^{15}N -DCaAm), and their concentrations were strongly correlated along the reaction time ($R^2 = 0.999$) (Fig. S7, SI). Good fittings were also obtained for other DOM isolates (R^2 ranging from 0.96 to 0.98). DCAA concentrations were approximately two times higher than DCaAm concentrations for all isolates

(i.e., the average slope was 2.1 ± 0.5). This result indicates a direct relationship between the two DBPs during chloramination of DOM, which can be related to the hydrolysis of DCACAm to DCAA observed during chlorination (Peters et al., 1990; Reckhow et al., 2001).

While the formation of 1,1-DCP mainly occurred from DOM isolates presenting a strong aromatic character (Table S3, SI), its formation did not linearly increase with chloramine exposure and followed second-order kinetics (Fig. S5, SI). This different behavior compared to those of DCAN and DCACAm indicates that its formation mechanism follows a more complex pathway than the solely incorporation of $^{15}\text{NH}_2\text{Cl}$ in aromatic moieties. Since 1,1-DCP kinetics reached a plateau at reaction times where DCAN and DCACAm were still linearly increasing, its formation might depend on the availability of an intermediate rather than that of aromatic precursors.

3.3. DBP Formation Potentials

To verify the hypothesis of the aromatic character of DOM playing a role in the formation of N-DBPs during chloramination, DBP formation potentials from seven DOM isolates were determined after 72h of chloramination using $^{15}\text{NH}_2\text{Cl}$ at pH 8. As previously observed, major DBP species produced for each class of monitored DBPs (i.e., HAAs, HAcAms, HAcAls) were dichlorinated in the experimental conditions used. Similarly to the kinetics experiments, DCAA was the major DBP analyzed for all the isolates, with concentrations ranging between 56.0 nM (JW COL) and 594.6 nM (BR HPO). DCAA concentrations were higher than TCM concentrations, in accordance with previous studies conducted on the chloramination of natural waters (Hua and Reckhow, 2007). DCACAm exhibited the highest concentrations after DCAA and TCM, reaching 132 (± 76) nM for SR HPOA. DCACAl concentrations were close to those of DCAN, ranging from 14.8 nM (JW COL) to 40.4 nM (SR HPOA). TCAA and TCACAl were also observed at low concentrations (4.0 to 7.7 nM) (Table S3, SI).

Almost all isolates exhibited a higher proportion of ^{15}N incorporation than ^{14}N , either in DCACAm or in DCAN (i.e., only RR HPI formed more ^{14}N -DCAN than ^{15}N -DCAN) (Table 1). Similarly to results obtained from kinetics experiments, the highest concentrations of either

415 ^{14}N -DBPs or ^{15}N -DBPs were obtained with SR HPOA. Even if no correlation could be derived
 416 from the whole set of results, the general trend was the higher the SUVA of the DOM isolate the
 417 higher the incorporation of ^{15}N in N-DBPs (Fig. S8, SI). When discriminating the OM isolates as a
 418 function of their origin (i.e., 3 HPO fractions from river waters in one group and 3 fractions from
 419 wastewater effluent in another group), good correlations were obtained between ^{15}N -DBPs
 420 formation and SUVA values ($R^2 = 0.92$ for ^{15}N -DCAcAm and $R^2 = 0.999$ for ^{15}N -DCAN) (Fig. S8
 421 and Table S4, SI). These results are in good agreement with previous studies which demonstrated
 422 that the formation of DCAN during chloramination did not correlate with DON/DOC ratio values of
 423 DOM fractions but correlated well with their SUVA values (Yang et al., 2008). In addition to these
 424 correlations with SUVA, ^{15}N -DCAcAm and ^{15}N -DCAN formations exhibited a linear relationship
 425 against each other ($R^2 = 0.93$, Fig. 5). This observation suggests a similar behavior of DCAcAm and
 426 DCAN towards the incorporation of $^{15}\text{NH}_2\text{Cl}$ as SUVA of DOM increases. Such a relationship
 427 could not be established from ^{14}N -DCAcAm and ^{14}N -DCAN, suggesting that ^{14}N incorporation did
 428 not follow a common pattern for the two species of DBPs. This agrees with results from Huang et
 429 al. (2012), who proposed that DCAcAm formation could be independent from DCAN hydrolysis.
 430 DCAcAm formation from humic-like materials was proposed to rapidly occur through the
 431 Decarboxylation Pathway, followed by the incorporation of inorganic nitrogen through the
 432 Aldehyde Pathway becoming more important with increasing chloramines exposure. Thus, DCAN
 433 and DCAcAm formation would follow similar but independent pathways during the chloramination
 434 of humic substances.

435

436 Table 1. Proportion of nitrogen incorporation in DCAN and DCAcAm by chloramination (15 mg
 437 Cl_2/L) of OM isolates ($\text{DOC} = 5 \text{ mg C/L}$) at pH 8 during 72h of contact time.

| Isolate | Concentration (nM) (N incorporation - % ^a) | | | |
|---------|--|-----------------------|-------------------------|-------------------------|
| | ^{14}N -DCAN | ^{15}N -DCAN | ^{14}N -DCAcAm | ^{15}N -DCAcAm |
| SR HPOA | 19.1 (27) | 51.8 (73) | 28.0 (21) | 104.0 (79) |

| | | | | |
|--------|-----------|-----------|-----------|-----------|
| BR HPO | 8.7 (20) | 34.1 (80) | 13.7 (22) | 49.7 (78) |
| GR HPO | 2.0 (12) | 14.3 (88) | 11.2 (26) | 31.5 (74) |
| RR HPI | 11.3 (66) | 5.8 (34) | 13.9 (44) | 17.7 (56) |
| JW HPO | 10.9 (34) | 20.7 (66) | 2.0 (33) | 4.1 (67) |
| JW TPI | 7.7 (33) | 15.4 (67) | 3.0 (46) | 3.5 (54) |
| JW COL | 4.5 (29) | 10.8 (71) | 11.4 (41) | 16.5 (59) |

^a N incorporation = nitrogen proportion in N-DBPs, calculated as

$$\frac{[^{14}\text{NDBP}] + [^{15}\text{NDBP}]}{[^{14}\text{NDBP}] + [^{15}\text{NDBP}]} \times 100 \quad (\%)$$

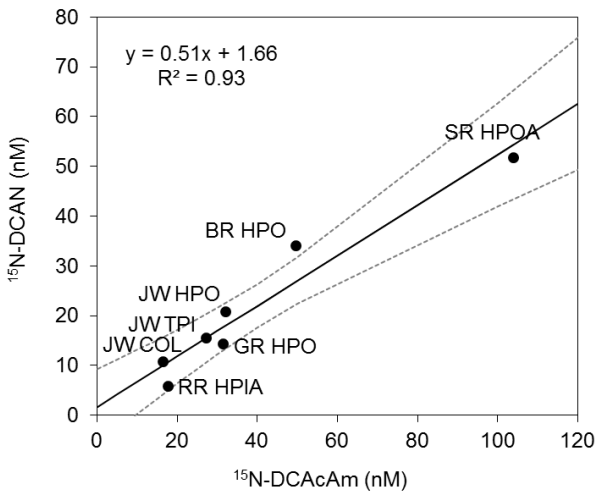


Figure 5. Relationship between ¹⁵N-DCAN and ¹⁵N-DCAcAm formation potentials after chloramination (¹⁵NH₂Cl = 15 mg Cl₂/L) of DOM isolates (DOC = 5 mg C/L) at pH 8 (10 mM phosphate buffer) during 72h. Dashed lines represent 95% interval confidence.

3.4. N-DBP formation potentials from model compounds

Most studies using model precursors for HANs and HAcAms formation during chlorination and chloramination have focused on amino acids (e.g., asparagine, aspartic acid) (Chu et al., 2012; Chu et al., 2010b; Huang et al., 2012; Trehy et al., 1986; Yang et al., 2010, 2012). Aromatic amino acids (i.e., tryptophan and tyrosine) were demonstrated as important precursors of HAcAms. A mechanism was recently proposed to describe the formation of TCACAm from the aromatic ring of tyrosine (Chu et al., 2012). Multiple chlorine attacks on the phenolic ring form chloro-, dichloro- and trichloro-phenol, ring opening released chloroform, TCAA and a trichloroacetyl chloride (TCAC) intermediate (tentative structure identified by GC-MS). The last step would form TCACAm through TCAC reaction with ammonia and the elimination of hydrochloric acid. However, the mechanism of this critical step, leading to the formation of the amide group (i.e., nitrogen

incorporation), is still uncertain. Such a pathway was not described for DCACAm, which was thought to be formed only from DCAN hydrolysis (Chu et al., 2012). However, DCACAm was suggested to be formed independently from DCAN hydrolysis during the chloramination of humic substances (Huang et al., 2012). To investigate the reactivity of aromatic compounds with monochloramine, N-DBPs formation tests were conducted by chloramination (100 mg Cl₂/L) of 250 μM phenol (PHE) and resorcinol (RES) for 72h at pH 7, and results were compared with amino acids previously described as major precursors of N-DBPs (e.g., tyrosine - TYR, aspartic acid - ASP, tryptophan - TRY) (Fig. 6). Phenol and resorcinol formed higher concentrations of DCAN and DCACAm than the amino acids, proving that aromatic compounds can be major precursors of N-DBPs as compared to other previously proposed nitrogenous precursors. Phenol produced the highest yield of DCAN (4.43% molar yield), while the highest proportion of DCACAm was obtained from resorcinol (0.83%). This result can be related to the presence of the second hydroxyl group in meta position, known to activate the aromatic ring for electrophilic substitution by chlorine. While the incorporation of nitrogen from NH₂Cl was previously hypothesized to occur through the Aldehyde Pathway (Huang et al., 2012), these results show that aromatic organic compounds react with monochloramine to produce N-DBPs through an unknown mechanism.

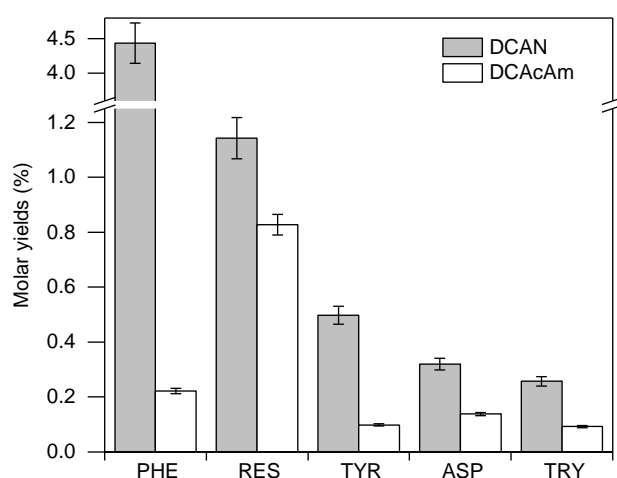


Figure 6. DCAN and DCACAm formation by chloramination (100 mg Cl₂/L) of phenol, resorcinol, tyrosine, aspartic acid, and tryptophan (250 μM) over 72 h and at pH 7 (10 mM phosphate buffer).

471 Molar yields were calculated based upon the initial model precursor concentration. Error bars
472 represent standard deviations ($n = 3$). PHE: phenol; RES: resorcinol; TYR: tyrosine; ASP: aspartic
473 acid; TRY: tryptophan.

474

475 **4. Conclusions**

476 This study demonstrates the possibility of N-DBPs formation from aromatic moieties of DOM.
477 Experiments using aromatic model compounds confirm the results obtained from DOM isolates.
478 The results from this investigation are of significant importance for water treatment facilities using
479 chloramines as final disinfectant, where the removal of aromatic DOC must be optimized to avoid
480 the production of N-DBPs. This work also confirms the possibility of two distinct mechanisms
481 explaining N-DBP formation during chlorination/chloramination of aromatic amino acids, i.e.,
482 chlorination of amino groups and incorporation of inorganic nitrogen from NH_2Cl in aromatic rings.
483 The mechanism of N-DBPs formation through aromatic ring opening and nitrogen incorporation
484 requires further investigation to be elucidated. Kinetics experiments and structural identifications of
485 potential reaction intermediates should be performed in order to understand this pathway. Potential
486 intermediates include carbinolamines and N-chloroamides, both previously observed as
487 intermediates during the chloramination of aldehydes and the chlorination of acetamides,
488 respectively.

489

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493 assistance and support.

494

495 **Appendix. Supplementary Material.**

496 Supplementary information file includes analytical details, characteristics of DOM isolates, raw
497 DBP results tables and additional figures.

498

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